UBS Financial Services Inc.
805 S.W. Broadway
Suite 2600
Portland OR 97205-3365

CNQ7004545159 0618 X12 LL 0

Summary of your UBS Portfolio

June 2018

GORGE COMMUNITY FOUNDATION
P.O. BOX 1711
HOOD RIVER OR 97031-0711

UBS Financial Services Inc.
805 S.W. Broadway
Suite 2600
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Summary of your UBS Portfolio

June 2018

GORGE COMMUNITY FOUNDATION
P.O. BOX 1711
HOOD RIVER OR 97031-0711

Your Financial Advisor:
MT HOOD INVESTMENT GROUP
Phone: 503-221-5800/800-245-0900

Visit our website:
www.ubs.com/financialservices

Items for your attention

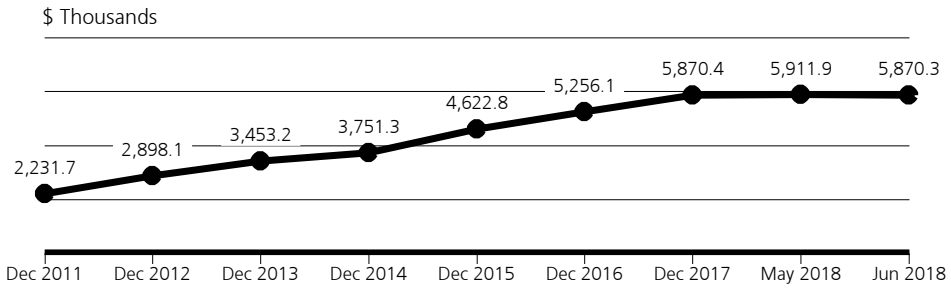
- Help protect yourself from fraud and review bank, credit card, and brokerage statements regularly. Also, get your free credit report annually from www.annualcreditreport.com.

Value of your portfolio

	on May 31 ($)	on June 29 ($)
Your assets	5,911,923.05	5,870,259.80
Your liabilities	0.00	0.00
Value of your portfolio	**$5,911,923.05**	**$5,870,259.80**
Accrued interest in value above	$4,583.04	$5,838.16

As a service to you, your portfolio value of $5,870,259.80 includes accrued interest.

Tracking the value of your portfolio



Sources of your portfolio growth during 2018

Value of your portfolio at year end 2017	$5,870,368.76
Net deposits and withdrawals	$3,750.07
Your investment return:	
Dividend and interest income	$62,428.32
Change in value of accrued interest	$148.54
Change in market value	-$66,435.89
Value of your portfolio on Jun 29, 2018	**$5,870,259.80**

Your Financial Advisor:
MT HOOD INVESTMENT GROUP
Telephone 503-221-5800/800-245-0900

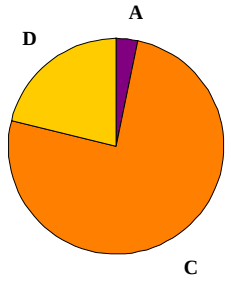
Your portfolio balance sheet

Summary of your assets

		Value on June 29 ($)	Percentage of your portfolio
A	Cash and money balances	193,918.09	3.30%
B	Cash alternatives	0.00	0.00%
C	Equities	4,436,728.39	75.58%
D	Fixed income	1,239,613.32	21.12%
E	Non-traditional	0.00	0.00%
F	Commodities	0.00	0.00%
G	Other	0.00	0.00%
Total assets		**$5,870,259.80**	**100.00%**

Value of your portfolio **$5,870,259.80**

Your current asset allocation



- *Cash and money balances* may include available cash balances, UBS Bank USA deposit account, UBS FDIC Insured Deposit Program Bank accounts, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

	Percentage change	
Index	June 2018	Year to date
S&P 500	0.62%	2.65%
Russell 3000	0.65%	3.22%
MSCI - Europe, Australia & Far East	-1.19%	-2.37%
Barclays Capital U.S. Aggregate Bond Index	-0.12%	-1.62%

Interest rates on June 29, 2018

3-month Treasury bills: 1.87%

One-month LIBOR: 2.09%

Your Financial Advisor:
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Accounts in your portfolio

Details on page	Account number	Account name and type	Value on May 31 ($)	Value on June 29 ($)	Percentage of portfolio
**	LL 51161 96	GORGE COMMUNITY FOUNDATION - Basic	70,887.91	70,903.10	1.21%
**	LL 59755 97	GORGE COMMUNITY FOUNDATION - Managed	5,829,069.76	5,787,373.48	98.59%
**	LL 66635 96	GORGE COMMUNITY FOUNDATION - BSA	11,965.38	11,983.22	0.20%
	Total		**$5,911,923.05**	**$5,870,259.80**	**100 %**

** indicates the statement for this account has been suppressed from printing.

Change in the value of your accounts

Account	Opening value on June 1 ($)	Deposits, including securities transferred in ($)	Withdrawals and fees, including securities transferred out ($)	Dividend and interest income ($)	Change in value of accrued interest ($)	Change in market value ($)	Closing value on June 29 ($)
LL 51161 96 GORGE COMMUNITY FOUNDATION - Basic	70,887.91	0.00	0.00	15.19	0.00	0.00	70,903.10
LL 59755 97 GORGE COMMUNITY FOUNDATION - Managed	5,829,069.76	0.00	0.00	17,747.51	1,255.12	-60,698.91	5,787,373.48
LL 66635 96 GORGE COMMUNITY FOUNDATION - BSA	11,965.38	0.00	0.00	4.49	0.00	13.35	11,983.22
Total	**$5,911,923.05**	**$0.00**	**$0.00**	**$17,767.19**	**$1,255.12**	**-$60,685.56**	**$5,870,259.80**

Your Financial Advisor:
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Telephone 503-221-5800/800-245-0900

Dividend and interest income earned by account

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	Year to date					
Taxable accounts	Taxable dividends/ Capital gains ($)	Tax-exempt dividends ($)	Taxable interest ($)	Tax-exempt interest ($)	Total ($)	Estimated Annual Income($)
LL 51161 96 GORGE COMMUNITY FOUNDATION - Basic	0.00	0.00	77.20	0.00	77.20	
LL 59755 97 GORGE COMMUNITY FOUNDATION - Managed	54,352.65	0.00	7,919.13	0.00	62,271.78	138,316
LL 66635 96 GORGE COMMUNITY FOUNDATION - BSA	45.03	0.00	0.00	0.00	45.03	171
Total taxable accounts	**$54,397.68**	**$0.00**	**$7,996.33**	**$0.00**	**$62,394.01**	**$138,487**

Summary of gains and losses by account

Values reported below exclude products for which gains and losses are not classified and products with a trade date of the prior year.

Realized gains and losses

Unrealized gains and losses

	June 2018		Year to date			
Taxable accounts	Short term ($)	Long term ($)	Short term ($)	Long term ($)	Short term ($)	Long term ($)
LL 59755 97 GORGE COMMUNITY FOUNDATION - Managed	0.00	0.00	-1,433.39	110,421.60	-9,960.57	1,271,837.01

continued next page

Your Financial Advisor:
MT HOOD INVESTMENT GROUP
Telephone 503-221-5800/800-245-0900

Summary of gains and losses by account (continued)

Realized gains and losses

Unrealized gains and losses

Taxable accounts (continued)	June 2018 Short term ($)	June 2018 Long term ($)	Year to date Short term ($)	Year to date Long term ($)	Short term ($)	Long term ($)
LL 66635 96						
GORGE COMMUNITY FOUNDATION - BSA	0.00	0.00	0.00	0.00	0.00	1,744.46
Total taxable accounts	**$0.00**	**$0.00**	**-$1,433.39**	**$110,421.60**	**-$9,960.57**	**$1,273,581.47**

UBS

Your notes

UBS Financial Services Inc.
805 S.W. Broadway
Suite 2600
Portland OR 97205-3365

CNQ7004545167 0618 X12 LL 0

Investment Account

June 2018

GORGE COMMUNITY FOUNDATION
P.O. BOX 1711
HOOD RIVER OR 97031-0711

Account name: GORGE COMMUNITY FOUNDATION

Account number: LL 51161 96

Your Financial Advisor:
MT HOOD INVESTMENT GROUP
Phone: 503-221-5800/800-245-0900

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www.ubs.com/financialservices

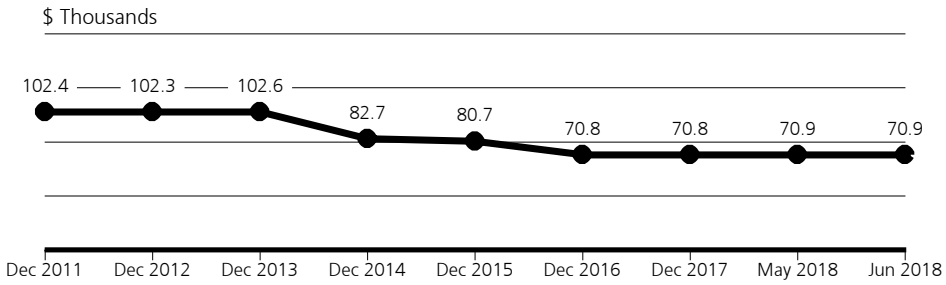
Items for your attention

- Help protect yourself from fraud and review bank, credit card, and brokerage statements regularly. Also, get your free credit report annually from www.annualcreditreport.com.

Value of your account

	on May 31 ($)	on June 29 ($)
Your assets	70,887.91	70,903.10
Your liabilities	0.00	0.00
Value of your account	**$70,887.91**	**$70,903.10**

Tracking the value of your account



Sources of your account growth during 2018

Value of your account at year end 2017	$70,814.35
Your investment return:	
Dividend and interest income	$88.75
Change in market value	$0.00
Value of your account on Jun 29, 2018	**$70,903.10**

Account name: GORGE COMMUNITY FOUNDATION
Account number: LL 51161 96

Your Financial Advisor:
MT HOOD INVESTMENT GROUP
503-221-5800/800-245-0900

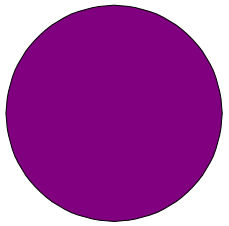
Your account balance sheet

Summary of your assets

		Value on June 29 ($)	Percentage of your account
A	Cash and money balances	70,903.10	100.00%
B	Cash alternatives	0.00	0.00%
C	Equities	0.00	0.00%
D	Fixed income	0.00	0.00%
E	Non-traditional	0.00	0.00%
F	Commodities	0.00	0.00%
G	Other	0.00	0.00%
Total assets		**$70,903.10**	**100.00%**

Value of your account **$70,903.10**

Your current asset allocation



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Eye on the markets

	Percentage change	
Index	June 2018	Year to date
S&P 500	0.62%	2.65%
Russell 3000	0.65%	3.22%
MSCI - Europe, Australia & Far East	-1.19%	-2.37%
Barclays Capital U.S. Aggregate Bond Index	-0.12%	-1.62%

Interest rates on June 29, 2018

3-month Treasury bills: 1.87%

One-month LIBOR: 2.09%

Change in the value of your account

	June 2018 ($)	Year to date ($)
Opening account value	**$70,887.91**	**$70,814.35**
Dividend and interest income	15.19	88.75
Closing account value	**$70,903.10**	**$70,903.10**

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	June 2018 ($)	Year to date ($)
Taxable interest	15.19	77.20
Total current year	**$15.19**	**$77.20**
Prior year adjustment	0.00	11.55
Total dividend & interest	**$15.19**	**$88.75**

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	June 2018 ($)	Year to date ($)
Opening balances	**$70,887.91**	**$70,814.35**
Additions		
Dividend and interest income	15.19	88.75
Total additions	**$15.19**	**$88.75**
Net cash flow	**$15.19**	**$88.75**
Closing balances	**$70,903.10**	**$70,903.10**

UBS Bank USA Business Account APY

Interest period May 7 - Jun 6	
Opening UBS Bank USA Business balance May 7	$70,887.91
Closing UBS Bank USA Business balance Jun 6	$70,903.10
Number of days in interest period	31
Average daily balance	$70,887.91
Interest earned	$15.19
Annual percentage yield earned	0.25%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:
Current income
Your risk profile:
Primary - Conservative
Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the *Important information about your statement* at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Holding	Opening balance on Jun 1 ($)	Closing balance on Jun 29 ($)	Price per share on Jun 29 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
UBS BANK USA BUS ACCT	70,887.91	70,903.10					250,000.00

Your total assets

		Value on Jun 29 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**70,903.10**	**100.00%**	**70,903.10**		
Total		**$70,903.10**	**100.00%**	**$70,903.10**		

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable interest	Jun 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 06/06/18	15.19
	Total taxable interest			**$15.19**
	Total dividend and interest income			**$15.19**

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities	**May 31**	**Balance forward**		**$70,887.91**
	Jun 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 06/06/18	15.19
	Jun 29	**Closing UBS Bank USA Business Account**		**$70,903.10**

UBS Financial Services Inc.
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Portfolio Management Program

June 2018

GORGE COMMUNITY FOUNDATION
(AVG)
P.O. BOX 1711
HOOD RIVER OR 97031-0711

Account name: GORGE COMMUNITY FOUNDATION (AVG)

Account number: LL 59755 97

Your Financial Advisor:
MT HOOD INVESTMENT GROUP - PMP
Phone: 503-221-5800/800-245-0900

Questions about your statement?
Call your Financial Advisor or the ResourceLine at 800-762-1000, account 342059755.

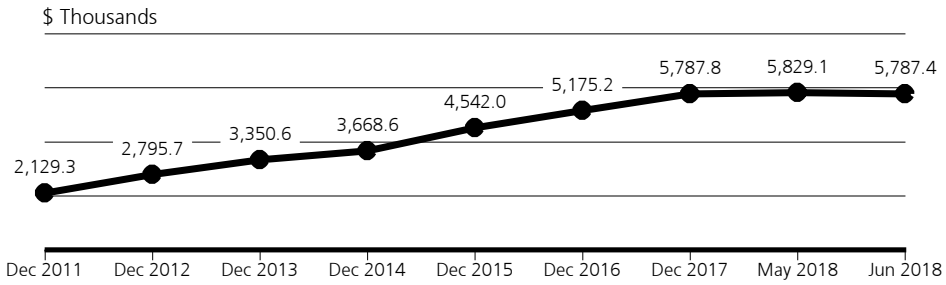
Visit our website:
www.ubs.com/financialservices

Value of your account

	on May 31 ($)	on June 29 ($)
Your assets	5,829,069.76	5,787,373.48
Your liabilities	0.00	0.00
Value of your account	**$5,829,069.76**	**$5,787,373.48**
Accrued interest in value above	$4,583.04	$5,838.16

As a service to you, your portfolio value of $5,787,373.48 includes accrued interest.

Tracking the value of your account



Sources of your account growth during 2018

Value of your account at year end 2017	$5,787,832.82
Net deposits and withdrawals	$3,750.07
Your investment return:	
Dividend and interest income	$62,294.54
Change in value of accrued interest	$148.54
Change in market value	-$66,652.49
Value of your account on Jun 29, 2018	**$5,787,373.48**

Your account balance sheet

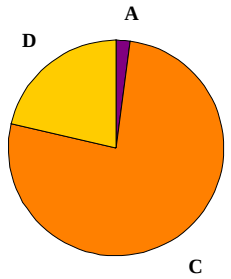
The value of your account includes assets held at UBS and certain assets held away from UBS. See page 1 for more information.

Summary of your assets

		Value on June 29 ($)	Percentage of your account
A	Cash and money balances	122,506.99	2.12%
B	Cash alternatives	0.00	0.00%
C	Equities	4,427,845.67	76.51%
D	Fixed income	1,237,020.82	21.37%
E	Non-traditional	0.00	0.00%
F	Commodities	0.00	0.00%
G	Other	0.00	0.00%
Total assets		**$5,787,373.48**	**100.00%**

Value of your account **$5,787,373.48**

Your current asset allocation



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Eye on the markets

Index	Percentage change June 2018	Percentage change Year to date
S&P 500	0.62%	2.65%
Russell 3000	0.65%	3.22%
MSCI - Europe, Australia & Far East	-1.19%	-2.37%
Barclays Capital U.S. Aggregate Bond Index	-0.12%	-1.62%

Interest rates on June 29, 2018

3-month Treasury bills: 1.87%

One-month LIBOR: 2.09%

Change in the value of your account

	June 2018 ($)	Year to date ($)
Opening account value	**$5,829,069.76**	**$5,787,832.82**
Deposits, including investments transferred in	0.00	24,075.00
Withdrawals and fees, including investments transferred out	0.00	-20,324.93
Dividend and interest income	17,747.51	62,294.54
Change in value of accrued interest	1,255.12	148.54
Change in market value	-60,698.91	-66,652.49
Closing account value	**$5,787,373.48**	**$5,787,373.48**

Dividend and interest income earned

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	June 2018 ($)	Year to date ($)
Taxable dividends	17,725.19	54,275.05
Long-term capital gains	0.00	77.60
Taxable interest	22.32	8,008.40
Taxable accrued interest paid	0.00	-89.27
Total current year	**$17,747.51**	**$62,271.78**
Prior year adjustment	0.00	22.76
Total dividend & interest	**$17,747.51**	**$62,294.54**

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized
	June 2018 ($)	Year to date ($)	gains and losses ($)
Short term	0.00	-1,433.39	-9,960.57
Long term	0.00	110,421.60	1,271,837.01
Total	**$0.00**	**$108,988.21**	**$1,261,876.44**

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	June 2018 ($)	Year to date ($)
Opening balances	**$107,000.48**	**$173,395.35**
Additions		
Dividend and interest income	17,747.51	62,294.54
Proceeds from investment transactions	0.00	405,967.29
Total additions	**$17,747.51**	**$468,261.83**
Subtractions		
Professional management fees and related services	0.00	-20,030.32
Other funds debited	0.00	-294.61
Funds withdrawn for investments bought	-2,241.00	-498,825.26
Total subtractions	**-$2,241.00**	**-$519,150.19**
Net cash flow	**$15,506.51**	**-$50,888.36**
Closing balances	**$122,506.99**	**$122,506.99**

Withholdings and tax summary

	June 2018 ($)	Year to date ($)
Foreign taxes paid	0.00	-294.61

UBS Bank USA Business Account APY

Interest period May 7 - Jun 6

Opening UBS Bank USA Business balance May 7	$101,277.16
Closing UBS Bank USA Business balance Jun 6	$108,525.11
Number of days in interest period	31
Average daily balance	$105,126.68
Interest earned	$22.32
Annual percentage yield earned	0.25%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:
Current income & capital appreciation
Your risk profile:
Primary - Moderate
Investment eligibility consideration - None selected

Your account instructions

- Your account is managed by your Financial Advisor under the Portfolio Management Program.
- Your account cost basis default closing method is FIFO, First In, First Out.

Your assets

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Cash

Cash and money balances

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Holding	Opening balance on Jun 1 ($)	Closing balance on Jun 29 ($)	Price per share on Jun 29 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
UBS BANK USA BUS ACCT	107,000.48	122,506.99					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
AECOM								
Symbol: ACM Exchange: NYSE	Apr 3, 12	146.000	22.560	3,293.77	33.030	4,822.38	1,528.61	LT
	May 30, 12	1,443.000	16.386	23,645.04	33.030	47,662.29	24,017.25	LT
	Sep 19, 12	172.000	21.606	3,716.32	33.030	5,681.16	1,964.84	LT
	Jan 4, 16	538.000	29.753	16,007.33	33.030	17,770.14	1,762.81	LT
Security total		2,299.000	20.297	46,662.46		75,935.97	29,273.51	
ALPHABET INC CL A								
Symbol: GOOGL Exchange: OTC	Jul 6, 11	73.000	269.140	19,647.27	1,129.190	82,430.87	62,783.60	LT
	Sep 19, 12	5.000	362.954	1,814.77	1,129.190	5,645.95	3,831.18	LT
	Jan 4, 16	11.000	756.499	8,321.49	1,129.190	12,421.09	4,099.60	LT
Security total		89.000	334.646	29,783.53		100,497.91	70,714.38	
ALPHABET INC CL C								
Symbol: GOOG Exchange: OTC	Jul 6, 11	72.986	267.577	19,529.48	1,115.650	81,427.17	61,897.69	LT
	Sep 19, 12	5.014	360.847	1,809.18	1,115.650	5,593.53	3,784.35	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
	Jan 4, 16	11.000	736.775	8,104.53	1,115.650	12,272.15	4,167.62	LT
Security total		89.000	330.822	29,443.19		99,292.85	69,849.66	
APPLE INC								
Symbol: AAPL Exchange: OTC								
EAI: $2,663 Current yield: 1.58%	Apr 1, 10	182.000	33.856	6,161.83	185.110	33,690.02	27,528.19	LT
	Mar 21, 11	238.000	48.208	11,473.64	185.110	44,056.18	32,582.54	LT
	Apr 27, 11	182.000	50.128	9,123.37	185.110	33,690.02	24,566.65	LT
	Sep 19, 12	49.000	100.304	4,914.91	185.110	9,070.39	4,155.48	LT
	May 7, 14	49.000	84.312	4,131.33	185.110	9,070.39	4,939.06	LT
	Jan 4, 16	212.000	103.716	21,987.81	185.110	39,243.32	17,255.51	LT
Security total		912.000	63.369	57,792.89		168,820.32	111,027.43	
ARRIS INTL INC GBP								
Symbol: ARRS Exchange: OTC								
GBP Exchange rate: 0.75743	Dec 16, 14	779.000	27.442	21,377.59	24.445	19,042.65	-2,334.94	LT
	Jan 4, 16	395.000	30.416	12,014.36	24.445	9,655.77	-2,358.59	LT
	Aug 8, 16	495.000	27.017	13,373.50	24.445	12,100.27	-1,273.23	LT
Security total		1,669.000	28.020	46,765.45		40,798.70	-5,966.76	
BECTON DICKINSON & CO								
Symbol: BDX Exchange: NYSE								
EAI: $318 Current yield: 1.25%	Dec 29, 17	40.108	214.319	8,596.01	239.560	9,608.34	1,012.33	ST
	Dec 29, 17	18.277	214.320	3,917.17	239.560	4,378.49	461.32	ST
	Dec 29, 17	15.231	214.320	3,264.31	239.560	3,648.74	384.43	ST
	Dec 29, 17	14.106	214.319	3,023.26	239.560	3,379.31	356.05	ST
	Dec 29, 17	9.646	214.320	2,067.40	239.560	2,310.87	243.47	ST
	Dec 29, 17	8.631	214.319	1,849.77	239.560	2,067.62	217.85	ST
Security total		106.000	214.320	22,717.92		25,393.36	2,675.45	
BOEING COMPANY								
Symbol: BA Exchange: NYSE								
EAI: $513 Current yield: 2.04%	Sep 28, 98	75.000	35.488	2,661.66	335.510	25,163.25	22,501.59	LT
CELGENE CORP								
Symbol: CELG Exchange: OTC	Nov 29, 17	386.000	103.579	39,981.82	79.420	30,656.12	-9,325.70	ST

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: $2,949 Current yield: 3.07%	Mar 24, 08	686.000	25.860	17,739.96	43.030	29,518.58	11,778.62	LT
	Mar 4, 09	68.000	15.331	1,042.55	43.030	2,926.04	1,883.49	LT
	Sep 10, 09	51.000	22.899	1,167.88	43.030	2,194.53	1,026.65	LT
	Feb 1, 10	83.000	22.676	1,882.19	43.030	3,571.49	1,689.30	LT
	Oct 4, 11	394.000	15.139	5,965.03	43.030	16,953.82	10,988.79	LT
	Sep 19, 12	195.000	19.136	3,731.58	43.030	8,390.85	4,659.27	LT
	Jan 4, 16	384.000	25.986	9,978.70	43.030	16,523.52	6,544.82	LT
	Aug 23, 16	373.000	30.995	11,561.14	43.030	16,050.19	4,489.05	LT
Security total		2,234.000	23.755	53,069.03		96,129.02	43,059.99	
COGNIZANT TECH SOLUTIONS CRP								
Symbol: CTSH Exchange: OTC								
EAI: $954 Current yield: 0.89%	Jul 23, 14	670.000	50.897	34,101.45	78.990	52,923.30	18,821.85	LT
	Jan 4, 16	172.000	57.975	9,971.70	78.990	13,586.28	3,614.58	LT
	Aug 8, 16	313.000	58.366	18,268.71	78.990	24,723.87	6,455.16	LT
	Aug 23, 16	208.000	58.278	12,122.03	78.990	16,429.92	4,307.89	LT
Security total		1,363.000	54.632	74,463.89		107,663.37	33,199.48	
CVS HEALTH CORP								
Symbol: CVS Exchange: NYSE								
EAI: $1,444 Current yield: 3.11%	Nov 7, 08	170.000	30.421	5,171.71	64.350	10,939.50	5,767.79	LT
	Mar 4, 09	55.000	25.390	1,396.45	64.350	3,539.25	2,142.80	LT
	Sep 10, 09	43.000	36.560	1,572.08	64.350	2,767.05	1,194.97	LT
	Feb 1, 10	76.000	32.860	2,497.36	64.350	4,890.60	2,393.24	LT
	Feb 17, 10	106.000	33.936	3,597.32	64.350	6,821.10	3,223.78	LT
	Sep 19, 12	105.000	47.446	4,981.91	64.350	6,756.75	1,774.84	LT
	Jan 4, 16	167.000	95.995	16,031.22	64.350	10,746.45	-5,284.77	LT
Security total		722.000	48.820	35,248.05		46,460.70	11,212.65	
DEERE AND CO								
Symbol: DE Exchange: NYSE								
EAI: $974 Current yield: 1.97%	May 30, 12	148.000	76.000	11,248.00	139.800	20,690.40	9,442.40	LT
	Sep 19, 12	46.000	81.916	3,768.17	139.800	6,430.80	2,662.63	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
	Jan 4, 16	159.000	74.935	11,914.67	139.800	22,228.20	10,313.53	LT
Security total		353.000	76.291	26,930.84		49,349.40	22,418.56	
EATON CORP PLC								
Symbol: ETN Exchange: NYSE								
EAI: $3,230 Current yield: 4.69%	Mar 17, 16	565.000	59.136	33,412.11	74.740	42,228.10	8,815.99	LT
	Aug 8, 16	195.000	62.916	12,268.70	74.740	14,574.30	2,305.60	LT
	May 18, 17	161.000	75.060	12,084.66	74.740	12,033.14	-51.52	LT
Security total		921.000	62.720	57,765.47		68,835.54	11,070.07	
FACEBOOK INC CL A								
Symbol: FB Exchange: OTC	Mar 27, 14	167.000	59.835	9,992.57	194.320	32,451.44	22,458.87	LT
	May 7, 14	168.000	56.756	9,535.06	194.320	32,645.76	23,110.70	LT
	Jan 4, 16	59.000	101.529	5,990.26	194.320	11,464.88	5,474.62	LT
Security total		394.000	64.766	25,517.89		76,562.08	51,044.19	
FEDEX CORP								
Symbol: FDX Exchange: NYSE								
EAI: $1,136 Current yield: 1.14%	Mar 24, 08	2.000	91.110	182.22	227.060	454.12	271.90	LT
	Jul 8, 08	125.000	76.278	9,534.87	227.060	28,382.50	18,847.63	LT
	Mar 4, 09	33.000	41.960	1,384.68	227.060	7,492.98	6,108.30	LT
	Sep 10, 09	21.000	72.280	1,517.88	227.060	4,768.26	3,250.38	LT
	Feb 1, 10	31.000	80.390	2,492.09	227.060	7,038.86	4,546.77	LT
	Oct 7, 11	123.000	71.429	8,785.81	227.060	27,928.38	19,142.57	LT
	Jan 4, 16	97.000	144.749	14,040.74	227.060	22,024.82	7,984.08	LT
	Mar 23, 16	5.000	163.068	815.34	227.060	1,135.30	319.96	LT
Security total		437.000	88.681	38,753.63		99,225.22	60,471.59	
GENTHERM INC								
Symbol: THRM Exchange: OTC	Dec 16, 14	624.000	34.093	21,274.55	39.300	24,523.20	3,248.65	LT
	Mar 18, 15	298.000	46.719	13,922.47	39.300	11,711.40	-2,211.07	LT
	Jan 4, 16	263.000	45.534	11,975.63	39.300	10,335.90	-1,639.73	LT
	Feb 12, 16	375.000	37.934	14,225.40	39.300	14,737.50	512.10	LT
Security total		1,560.000	39.358	61,398.05		61,308.00	-90.05	
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAI: $1,865 Current yield: 2.41%	Jul 14, 06	70.000	18.039	1,262.77	49.710	3,479.70	2,216.93	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
	Jul 17, 06	225.000	17.856	4,017.71	49.710	11,184.75	7,167.04	LT
	Aug 4, 06	110.000	17.460	1,920.60	49.710	5,468.10	3,547.50	LT
	Mar 4, 09	109.000	12.761	1,390.95	49.710	5,418.39	4,027.44	LT
	Sep 10, 09	80.000	19.697	1,575.80	49.710	3,976.80	2,401.00	LT
	Feb 1, 10	127.000	19.587	2,487.61	49.710	6,313.17	3,825.56	LT
	Mar 21, 11	537.000	20.319	10,911.41	49.710	26,694.27	15,782.86	LT
	Jan 4, 16	237.000	33.610	7,965.57	49.710	11,781.27	3,815.70	LT
		59.000	---This information was unavailable---		49.710	2,932.89		
Security total		1,554.000	21.092	31,532.42		77,249.34	42,784.03	
JOHNSON CTLS INTL PLC Symbol: JCI Exchange: NYSE EAI: $1,154 Current yield: 3.11%	Sep 6, 16	493.899	47.842	23,629.57	33.450	16,520.91	-7,108.66	LT
	Sep 6, 16	149.101	47.842	7,133.44	33.450	4,987.44	-2,146.00	LT
	May 18, 17	467.000	41.609	19,431.67	33.450	15,621.15	-3,810.52	LT
Security total		1,110.000	45.220	50,194.68		37,129.50	-13,065.18	
JPMORGAN CHASE & CO Symbol: JPM Exchange: NYSE EAI: $1,460 Current yield: 2.15%	Mar 27, 18	652.000	110.079	71,772.08	104.200	67,938.40	-3,833.68	ST
KRAFT HEINZ CO/THE Symbol: KHC Exchange: OTC EAI: $2,918 Current yield: 3.98%	Apr 4, 18	1,167.000	61.531	71,807.19	62.820	73,310.94	1,503.75	ST
LINCOLN NATL CORP IND Symbol: LNC Exchange: NYSE EAI: $2,447 Current yield: 2.12%	Nov 3, 11	828.000	19.557	16,193.90	62.250	51,543.00	35,349.10	LT
	Sep 19, 12	98.000	25.231	2,472.66	62.250	6,100.50	3,627.84	LT
	Jan 4, 16	248.000	48.272	11,971.56	62.250	15,438.00	3,466.44	LT
	Feb 12, 16	680.000	32.336	21,988.96	62.250	42,330.00	20,341.04	LT
Security total		1,854.000	28.386	52,627.08		115,411.50	62,784.42	
LOWES COMPANIES INC Symbol: LOW Exchange: NYSE EAI: $2,454 Current yield: 2.01%	Mar 24, 08	459.000	24.200	11,107.80	95.570	43,866.63	32,758.83	LT
	Mar 4, 09	74.000	14.171	1,048.71	95.570	7,072.18	6,023.47	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
	Sep 10, 09	54.000	21.886	1,181.88	95.570	5,160.78	3,978.90	LT
	Feb 1, 10	85.000	21.897	1,861.29	95.570	8,123.45	6,262.16	LT
	Mar 21, 11	267.000	26.179	6,989.85	95.570	25,517.19	18,527.34	LT
	Sep 19, 12	126.000	29.626	3,732.94	95.570	12,041.82	8,308.88	LT
	Jan 4, 16	213.000	74.846	15,942.24	95.570	20,356.41	4,414.17	LT
Security total		1,278.000	32.758	41,864.71		122,138.46	80,273.75	
MCKESSON CORP Symbol: MCK Exchange: NYSE EAI: $623 Current yield: 1.02%	Sep 20, 16	350.000	164.983	57,744.13	133.400	46,690.00	-11,054.13	LT
	Nov 1, 16	108.000	128.982	13,930.07	133.400	14,407.20	477.13	LT
Security total		458.000	156.494	71,674.20		61,097.20	-10,577.00	
METLIFE INC Symbol: MET Exchange: NYSE EAI: $2,817 Current yield: 3.85%	Mar 4, 09	101.000	12.377	1,250.08	43.600	4,403.60	3,153.52	LT
	Sep 10, 09	41.000	34.288	1,405.83	43.600	1,787.60	381.77	LT
	Feb 1, 10	69.000	32.035	2,210.43	43.600	3,008.40	797.97	LT
	Dec 14, 10	97.000	39.495	3,831.08	43.600	4,229.20	398.12	LT
	Sep 19, 12	141.000	31.620	4,458.51	43.600	6,147.60	1,689.09	LT
	Dec 2, 14	215.000	49.322	10,604.39	43.600	9,374.00	-1,230.39	LT
	Jan 4, 16	257.000	41.806	10,744.23	43.600	11,205.20	460.97	LT
	Jan 14, 16	756.000	39.198	29,633.71	43.600	32,961.60	3,327.89	LT
Security total		1,677.000	38.246	64,138.26		73,117.20	8,978.94	
MICROSOFT CORP Symbol: MSFT Exchange: OTC EAI: $1,100 Current yield: 1.70%	Oct 4, 11	96.000	24.727	2,373.86	98.610	9,466.56	7,092.70	LT
	Sep 19, 12	160.000	31.156	4,984.96	98.610	15,777.60	10,792.64	LT
	Mar 24, 14	251.000	40.499	10,165.47	98.610	24,751.11	14,585.64	LT
	Jan 4, 16	148.000	53.830	7,966.84	98.610	14,594.28	6,627.44	LT
Security total		655.000	38.918	25,491.13		64,589.55	39,098.42	
NUTRIEN LTD CAD Symbol: NTR Exchange: NYSE EAI: $2,379 Current yield: 2.94% CAD Exchange rate: 1.31545	Jul 23, 14	956.260	41.209	39,406.71	54.380	52,001.42	12,594.71	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
	Dec 2, 14	225.230	43.253	9,742.01	54.380	12,248.01	2,506.00	LT
	Jan 4, 16	305.510	39.093	11,943.56	54.380	16,613.63	4,670.07	LT
Security total		1,487.000	41.084	61,092.28		80,863.06	19,770.78	
PFIZER INC Symbol: PFE Exchange: NYSE EAI: $2,079 Current yield: 3.75%	Apr 5, 18	1,529.000	35.683	54,560.33	36.280	55,472.12	911.79	ST
POLARIS INDUSTRIES INC (MINN) Symbol: PII Exchange: NYSE EAI: $1,397 Current yield: 1.96%	Nov 18, 15	263.000	105.139	27,651.80	122.180	32,133.34	4,481.54	LT
	Jan 4, 16	94.000	84.919	7,982.39	122.180	11,484.92	3,502.53	LT
	Feb 12, 16	117.000	77.801	9,102.72	122.180	14,295.06	5,192.34	LT
	Sep 7, 16	108.000	83.896	9,060.81	122.180	13,195.44	4,134.63	LT
Security total		582.000	92.436	53,797.72		71,108.76	17,311.04	
QUALCOMM INC Symbol: QCOM Exchange: OTC EAI: $3,003 Current yield: 4.42%	Apr 18, 13	344.000	61.148	21,035.20	56.120	19,305.28	-1,729.92	LT
	Aug 15, 13	152.000	64.514	9,806.22	56.120	8,530.24	-1,275.98	LT
	Dec 11, 13	121.000	71.244	8,620.61	56.120	6,790.52	-1,830.09	LT
	Feb 4, 14	269.000	70.268	18,902.24	56.120	15,096.28	-3,805.96	LT
	Jan 4, 16	325.000	46.744	15,192.05	56.120	18,239.00	3,046.95	LT
Security total		1,211.000	60.740	73,556.32		67,961.32	-5,595.00	
SCHLUMBERGER LTD NETHERLANDS ANTILLES Symbol: SLB Exchange: NYSE EAI: $4,410 Current yield: 2.98%	Jan 4, 16	103.000	68.091	7,013.38	67.030	6,904.09	-109.29	LT
	Oct 3, 17	992.000	69.321	68,767.29	67.030	66,493.76	-2,273.53	ST
	Mar 2, 18	1,110.000	64.933	72,076.61	67.030	74,403.30	2,326.69	ST
Security total		2,205.000	67.055	147,857.28		147,801.15	-56.13	
SKYWORKS SOLUTIONS INC Symbol: SWKS Exchange: OTC EAI: $1,244 Current yield: 1.32%	Jan 22, 15	333.000	77.137	25,686.78	96.650	32,184.45	6,497.67	LT
	Mar 18, 15	114.000	96.099	10,955.38	96.650	11,018.10	62.72	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
	Jan 4, 16	155.000	77.392	11,995.84	96.650	14,980.75	2,984.91	LT
	Aug 8, 16	159.000	65.822	10,465.84	96.650	15,367.35	4,901.51	LT
	Aug 23, 16	211.000	73.685	15,547.54	96.650	20,393.15	4,845.61	LT
Security total		972.000	76.802	74,651.38		93,943.80	19,292.42	
STARBUCKS CORP Symbol: SBUX Exchange: OTC EAI: $2,929 Current yield: 2.95%	Mar 24, 08	1,256.000	8.955	11,247.48	48.850	61,355.60	50,108.12	LT
	Mar 4, 09	238.000	4.403	1,048.13	48.850	11,626.30	10,578.17	LT
	Sep 10, 09	118.000	9.988	1,178.63	48.850	5,764.30	4,585.67	LT
	Feb 1, 10	112.000	11.096	1,242.86	48.850	5,471.20	4,228.34	LT
	Jan 4, 16	310.000	58.245	18,055.98	48.850	15,143.50	-2,912.48	LT
Security total		2,034.000	16.113	32,773.08		99,360.90	66,587.82	
STERIS PLC GBP Symbol: STE Exchange: NYSE EAI: $1,812 Current yield: 1.18% GBP Exchange rate: 0.75743	Nov 3, 15	727.000	74.360	54,059.72	105.010	76,342.27	22,282.55	LT
	Nov 3, 15	642.000	74.360	47,739.12	105.010	67,416.42	19,677.30	LT
	Nov 3, 15	92.000	74.360	6,841.12	105.010	9,660.92	2,819.80	LT
Security total		1,461.000	74.360	108,639.96		153,419.61	44,779.65	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR Symbol: TSM Exchange: NYSE EAI: $3,126 Current yield: 2.91%	Jul 23, 14	1,300.000	20.670	26,871.68	36.560	47,528.00	20,656.32	LT
	Dec 2, 14	320.000	22.579	7,225.59	36.560	11,699.20	4,473.61	LT
	Jan 4, 16	544.000	22.019	11,978.83	36.560	19,888.64	7,909.81	LT
	Feb 12, 16	777.000	22.029	17,117.23	36.560	28,407.12	11,289.89	LT
Security total		2,941.000	21.487	63,193.33		107,522.96	44,329.63	
TRACTOR SUPPLY COMPANY Symbol: TSCO Exchange: OTC EAI: $1,371 Current yield: 1.62%	Mar 24, 08	402.000	10.478	4,212.25	76.490	30,748.98	26,536.73	LT
	Mar 4, 09	116.000	7.482	867.97	76.490	8,872.84	8,004.87	LT
	Sep 10, 09	84.000	11.650	978.60	76.490	6,425.16	5,446.56	LT
	Feb 1, 10	168.000	13.011	2,185.85	76.490	12,850.32	10,664.47	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
	Sep 19, 12	90.000	48.575	4,371.75	76.490	6,884.10	2,512.35	LT
	Jan 4, 16	144.000	82.879	11,934.71	76.490	11,014.56	-920.15	LT
	Jun 15, 17	102.000	55.725	5,683.95	76.490	7,801.98	2,118.03	LT
Security total		1,106.000	27.337	30,235.08		84,597.94	54,362.86	
UNION PACIFIC CORP								
Symbol: UNP Exchange: NYSE								
EAI: $2,406 Current yield: 2.06%	Dec 13, 12	361.000	62.354	22,510.06	141.680	51,146.48	28,636.42	LT
	Apr 2, 13	256.000	71.029	18,183.67	141.680	36,270.08	18,086.41	LT
	Jan 4, 16	207.000	77.121	15,964.11	141.680	29,327.76	13,363.65	LT
Security total		824.000	68.760	56,657.84		116,744.32	60,086.48	
UNIVERSAL ELECTRONICS INC								
Symbol: UEIC Exchange: OTC	Dec 3, 15	249.000	51.461	12,814.02	33.050	8,229.45	-4,584.57	LT
	Aug 8, 16	245.000	76.206	18,670.56	33.050	8,097.25	-10,573.31	LT
Security total		494.000	63.734	31,484.58		16,326.70	-15,157.88	
W P CAREY INC REIT								
Symbol: WPC Exchange: NYSE								
EAI: $9,470 Current yield: 6.15%	Jan 23, 13	266.000	52.998	14,097.60	66.350	17,649.10	3,551.50	LT
	Dec 11, 13	136.000	59.159	8,045.74	66.350	9,023.60	977.86	LT
	Mar 24, 14	145.000	59.913	8,687.49	66.350	9,620.75	933.26	LT
	Dec 2, 14	521.000	67.122	34,970.97	66.350	34,568.35	-402.62	LT
	Mar 18, 15	559.000	68.271	38,163.89	66.350	37,089.65	-1,074.24	LT
	Jan 4, 16	340.000	57.782	19,645.93	66.350	22,559.00	2,913.07	LT
	Feb 12, 16	354.000	52.101	18,443.93	66.350	23,487.90	5,043.97	LT
Security total		2,321.000	61.204	142,055.55		153,998.35	11,942.80	
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol: DIS Exchange: NYSE								
EAI: $1,077 Current yield: 1.60%	May 10, 16	508.000	106.480	54,091.84	104.810	53,243.48	-848.36	LT
	Aug 23, 16	133.000	95.845	12,747.39	104.810	13,939.73	1,192.34	LT
Security total		641.000	104.273	66,839.23		67,183.21	343.98	

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
ZIMMER BIOMET HOLDINGS INC								
Symbol: ZBH Exchange: NYSE								
EAI: $408 Current yield: 0.86%	Jun 26, 14	405.000	105.199	42,605.73	111.440	45,133.20	2,527.47	LT
	Nov 14, 17	20.000	112.244	2,244.88	111.440	2,228.80	-16.08	ST
Security total		425.000	105.531	44,850.61		47,362.00	2,511.39	
Total				**$2,172,302.09**		**$3,227,740.10**	**$1,052,505.12**	

Total estimated annual income: $68,130

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ADAM NAT RES FD INC									
Symbol: PEO									
Trade date: Mar 24, 08	844.000	35.665	30,101.92	30,101.92	20.090	16,955.96	-13,145.96		LT
Trade date: Mar 4, 09	164.000	17.080	2,801.12	2,801.12	20.090	3,294.76	493.64		LT
Trade date: Sep 10, 09	104.000	22.690	2,359.76	2,359.76	20.090	2,089.36	-270.40		LT
Trade date: Feb 1, 10	163.000	22.945	3,740.12	3,740.12	20.090	3,274.67	-465.45		LT
Trade date: Sep 19, 12	283.000	26.478	7,493.39	7,493.39	20.090	5,685.47	-1,807.92		LT
Trade date: Dec 2, 14	562.000	24.542	13,792.89	13,792.89	20.090	11,290.58	-2,502.31		LT
Trade date: Jan 4, 16	1,146.000	17.410	19,952.09	19,952.09	20.090	23,023.14	3,071.05		LT
Total reinvested	614.000	22.863		14,038.22	20.090	12,335.26	-1,702.96		
EAI: $1,862 Current yield: 2.39%									
Security total	3,880.000	24.299	80,241.29	94,279.51		77,949.20	-16,330.31	-2,292.09	
ELLSWORTH GROWTH & INCOME FUND									
LTD									
Symbol: ECF									
Trade date: Apr 5, 10	309.000	6.909	2,135.04	2,135.04	9.440	2,916.96	781.92		LT

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Your assets ▸ **Equities** ▸ **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date: Sep 19, 12	680.000	7.329	4,984.06	4,984.06	9.440	6,419.20	1,435.14		LT
Trade date: Feb 4, 14	8,618.000	8.137	70,131.64	70,131.64	9.440	81,353.92	11,222.28		LT
Total reinvested	2,995.000	8.044		24,092.79	9.440	28,272.80	4,180.01		
EAI: $5,545 Current yield: 4.66%									
Security total	12,602.000	8.042	77,250.74	101,343.53		118,962.88	17,619.35	41,712.14	
ISHARES RUSSELL 2000 VALUE ETF									
Symbol: IWN									
Trade date: Jan 4, 16	223.000	89.959	20,061.06	20,061.06	131.920	29,418.16	9,357.10	9,357.10	LT
EAI: $498 Current yield: 1.69%									
ISHARES NASDAQ BIOTECHNOLOGY									
ETF									
Symbol: IBB									
Trade date: Oct 4, 11	18.000	29.626	533.28	533.28	109.820	1,976.76	1,443.48		LT
Trade date: Sep 19, 12	51.000	47.956	2,445.79	2,445.79	109.820	5,600.82	3,155.03		LT
Trade date: Apr 2, 13	420.000	54.107	22,725.00	22,725.00	109.820	46,124.40	23,399.40		LT
Trade date: Jan 4, 16	147.000	109.273	16,063.18	16,063.18	109.820	16,143.54	80.36		LT
EAI: $204 Current yield: 0.29%									
Security total	636.000	65.672	41,767.25	41,767.25		69,845.52	28,078.27	28,078.27	
ISHARES INTL DEVELOPED PPTY									
ETF									
Symbol: WPS									
Trade date: Apr 7, 11	614.000	34.228	21,016.58	21,016.58	38.011	23,338.75	2,322.17		LT
Trade date: Sep 19, 12	72.000	34.337	2,472.32	2,472.32	38.011	2,736.79	264.47		LT
Trade date: Jul 21, 15	341.000	37.545	12,802.93	12,802.93	38.011	12,961.75	158.82		LT
Trade date: Jan 4, 16	232.000	34.367	7,973.30	7,973.30	38.011	8,818.55	845.25		LT
EAI: $2,220 Current yield: 4.64%									
Security total	1,259.000	35.159	44,265.13	44,265.13		47,855.84	3,590.71	3,590.71	
ROYCE MICRO-CAP TRUST INC									
Symbol: RMT									
Trade date: Sep 7, 10	833.000	7.632	6,357.73	6,357.73	9.990	8,321.67	1,963.94		LT
Trade date: Jan 18, 11	1,200.000	9.985	11,982.54	11,982.54	9.990	11,988.00	5.46		LT

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Your assets ▸ **Equities** ▸ **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date: Oct 4, 11	560.000	7.179	4,020.35	4,020.35	9.990	5,594.40	1,574.05		LT
Trade date: Sep 19, 12	264.000	9.386	2,478.04	2,478.04	9.990	2,637.36	159.32		LT
Trade date: Aug 19, 13	830.000	11.372	9,439.51	9,439.51	9.990	8,291.70	-1,147.81		LT
Trade date: Jan 4, 16	1,698.000	7.059	11,987.71	11,987.71	9.990	16,963.02	4,975.31		LT
Total reinvested	3,901.000	9.606		37,475.55	9.990	38,970.99	1,495.44		
EAI: $6,779 Current yield: 7.31%									
Security total	9,286.000	9.018	46,265.88	83,741.43		92,767.14	9,025.71	46,501.26	
ROYCE VALUE TRUST INC									
Symbol: RVT									
Trade date: Jan 4, 16	699.000	11.433	7,991.95	7,991.95	15.800	11,044.20	3,052.25		LT
Trade date: Aug 23, 16	1,065.000	12.868	13,704.42	13,704.42	15.800	16,827.00	3,122.58		LT
Total reinvested	321.000	14.414		4,627.00	15.800	5,071.80	444.80		
EAI: $2,544 Current yield: 7.72%									
Security total	2,085.000	12.625	21,696.37	26,323.37		32,943.00	6,619.63	11,246.63	
SPDR S&P BANK ETF									
Symbol: KBE									
Trade date: Jul 21, 15	790.000	36.559	28,882.35	28,882.35	47.160	37,256.40	8,374.05		LT
Trade date: Feb 12, 16	807.000	27.209	21,958.39	21,958.39	47.160	38,058.12	16,099.73		LT
Trade date: Aug 8, 16	395.000	32.706	12,919.11	12,919.11	47.160	18,628.20	5,709.09		LT
EAI: $1,408 Current yield: 1.50%									
Security total	1,992.000	32.008	63,759.85	63,759.85		93,942.72	30,182.87	30,182.87	
VANGUARD SMALL CAP GROWTH ETF									
Symbol: VBK									
Trade date: Mar 24, 08	182.000	63.699	11,593.38	11,593.38	175.800	31,995.60	20,402.22		LT
Trade date: Mar 4, 09	53.000	33.639	1,782.87	1,782.87	175.800	9,317.40	7,534.53		LT
Trade date: Sep 10, 09	35.000	56.206	1,967.23	1,967.23	175.800	6,153.00	4,185.77		LT
Trade date: Feb 1, 10	53.000	58.440	3,097.37	3,097.37	175.800	9,317.40	6,220.03		LT
Trade date: Sep 19, 12	69.000	90.554	6,248.23	6,248.23	175.800	12,130.20	5,881.97		LT
Trade date: Jan 4, 16	169.000	118.714	20,062.82	20,062.82	175.800	29,710.20	9,647.38		LT
EAI: $695 Current yield: 0.70%									
Security total	561.000	79.772	44,751.90	44,751.90		98,623.80	53,871.90	53,871.90	

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Your assets ▸ **Equities** ▸ **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
VANGUARD FTSE EMERGING MARKETS									
ETF									
Symbol: VWO									
Trade date: Nov 11, 08	295.000	23.419	6,908.63	6,908.63	42.200	12,449.00	5,540.37		LT
Trade date: Feb 18, 09	107.000	21.170	2,265.19	2,265.19	42.200	4,515.40	2,250.21		LT
Trade date: Mar 4, 09	72.000	20.527	1,478.00	1,478.00	42.200	3,038.40	1,560.40		LT
Trade date: Jul 28, 09	107.000	34.787	3,722.28	3,722.28	42.200	4,515.40	793.12		LT
Trade date: Sep 10, 09	42.000	37.406	1,571.08	1,571.08	42.200	1,772.40	201.32		LT
Trade date: Feb 1, 10	64.000	39.160	2,506.24	2,506.24	42.200	2,700.80	194.56		LT
Trade date: Feb 17, 10	160.000	39.340	6,294.40	6,294.40	42.200	6,752.00	457.60		LT
Trade date: Sep 19, 12	116.000	42.837	4,969.18	4,969.18	42.200	4,895.20	-73.98		LT
Trade date: Aug 19, 13	431.000	38.669	16,666.66	16,666.66	42.200	18,188.20	1,521.54		LT
Trade date: Sep 5, 13	108.000	39.129	4,226.00	4,226.00	42.200	4,557.60	331.60		LT
Trade date: Dec 2, 14	266.000	41.395	11,011.15	11,011.15	42.200	11,225.20	214.05		LT
Trade date: Jan 4, 16	631.000	31.685	19,993.49	19,993.49	42.200	26,628.20	6,634.71		LT
EAI: $2,632 Current yield: 2.60%									
Security total	2,399.000	34.019	81,612.30	81,612.30		101,237.80	19,625.50	19,625.50	
VANGUARD FTSE ALL WORLD EX-US									
SMALL-CAP ETF									
Symbol: VSS									
Trade date: Aug 3, 11	232.000	97.958	22,726.31	22,726.31	115.410	26,775.12	4,048.81		LT
Trade date: Sep 19, 12	42.000	89.250	3,748.50	3,748.50	115.410	4,847.22	1,098.72		LT
Trade date: Apr 2, 13	121.000	93.942	11,367.04	11,367.04	115.410	13,964.61	2,597.57		LT
Trade date: Aug 19, 13	167.000	95.811	16,000.54	16,000.54	115.410	19,273.47	3,272.93		LT
Trade date: Sep 6, 13	51.000	96.060	4,899.06	4,899.06	115.410	5,885.91	986.85		LT
Trade date: Dec 2, 14	130.000	98.395	12,791.40	12,791.40	115.410	15,003.30	2,211.90		LT
Trade date: Mar 18, 15	132.000	97.980	12,933.36	12,933.36	115.410	15,234.12	2,300.76		LT
Trade date: Jan 4, 16	263.000	91.164	23,976.37	23,976.37	115.410	30,352.83	6,376.46		LT
EAI: $3,667 Current yield: 2.79%									
Security total	1,138.000	95.292	108,442.58	108,442.58		131,336.58	22,894.00	22,894.00	
VANGUARD FTSE DEVELOPED MKT									

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Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ETF									
Symbol: VEA									
Trade date: Mar 8, 17	2,933.000	38.275	112,261.27	112,261.27	42.900	125,825.70	13,564.43	13,564.43	LT
EAI: $3,848 Current yield: 3.06%									
WISDOMTREE EUROPE SMALLCAP DIVID FUND ETF									
Symbol: DFE									
Trade date: Feb 18, 14	569.000	61.235	34,842.72	34,842.72	65.260	37,132.94	2,290.22		LT
Trade date: Dec 2, 14	235.000	52.315	12,294.17	12,294.17	65.260	15,336.10	3,041.93		LT
Trade date: Mar 18, 15	239.000	54.850	13,109.15	13,109.15	65.260	15,597.14	2,487.99		LT
Trade date: Jan 4, 16	289.000	55.291	15,979.19	15,979.19	65.260	18,860.14	2,880.95		LT
EAI: $3,471 Current yield: 3.99%									
Security total	1,332.000	57.226	76,225.23	76,225.23		86,926.32	10,701.09	10,701.09	
WISDOMTREE EMERGING MARKETS SMALLCAP DIVID FUND ETF									
Symbol: DGS									
Trade date: Nov 1, 11	369.000	42.820	15,800.58	15,800.58	47.690	17,597.61	1,797.03		LT
Trade date: Sep 19, 12	79.000	47.300	3,736.70	3,736.70	47.690	3,767.51	30.81		LT
Trade date: Dec 21, 12	716.000	47.937	34,322.98	34,322.98	47.690	34,146.04	-176.94		LT
Trade date: Aug 19, 13	188.000	45.528	8,559.41	8,559.41	47.690	8,965.72	406.31		LT
Trade date: Jan 4, 16	587.000	34.105	20,019.64	20,019.64	47.690	27,994.03	7,974.39		LT
EAI: $2,992 Current yield: 3.24%									
Security total	1,939.000	42.516	82,439.31	82,439.31		92,470.91	10,031.60	10,031.60	
Total			**$901,040.16**	**$981,273.72**		**$1,200,105.57**	**$218,831.85**	**$299,065.41**	

Total estimated annual income: $38,365

Your assets ▸ **Equities** (continued)

Rights

Holding	Trade date	Number of rights	Purchase price per right ($)	Cost basis ($)	Price per right on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
RIGHTS ROYCE VALUE TRUST INC								
Expires: Jul 03, 18	Jun 01, 18	2.000	0.005	0.01	-----Price was unavailable-----			ST
		2,048.000	---This information was unavailable---					
Security Total		2,050.000		0.01				

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
PEPSICO INC B/E CALL@MW+15BP RATE 02.250% MATURES 01/07/19 CALLABLE ACCRUED INTEREST $537.50 CUSIP 713448CK2 Moody: A1 S&P: A+ EAI: $1,125 Current yield: 2.25% Original cost basis: $50,157.50	Jan 29, 18	50,000.000	100.174	50,087.45	99.838	49,919.00	-168.45	ST
FEDEX CORP NTS MW +50BP RATE 08.000% MATURES 01/15/19 ACCRUED INTEREST $1,093.33 CUSIP 31428XAR7 Moody: Baa2 S&P: BBB EAI: $2,400 Current yield: 7.79% Original cost basis: $33,601.80	Dec 19, 16	30,000.000	103.185	30,955.77	102.758	30,827.40	-128.37	LT

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Your assets ▸ **Fixed income** ▸ **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
ROYAL BK OF CANADA NTS B/E RATE 01.625% MATURES 04/15/19 ACCRUED INTEREST $167.01 CUSIP 78012KNL7 Moody: A1 S&P: AA- EAI: $813 Current yield: 1.64%	Dec 12, 17	50,000.000	99.490	49,745.00	99.140	49,570.00	-175.00	ST
BOSTON SCIENTIFIC CORP +40BP RATE 06.000% MATURES 01/15/20 ACCRUED INTEREST $1,366.66 CUSIP 101137AK3 Moody: Baa2 S&P: BBB- EAI: $3,000 Current yield: 5.76% Original cost basis: $53,610.00	Dec 12, 17	50,000.000	105.354	52,677.46	104.184	52,092.00	-585.46	ST
NORDSTROM INC NTS B/E +15BP RATE 04.750% MATURES 05/01/20 ACCRUED INTEREST $382.64 CUSIP 655664AN0 Moody: Baa1 S&P: BBB+ EAI: $2,375 Current yield: 4.65% Original cost basis: $52,212.50	Dec 12, 17	50,000.000	103.428	51,714.42	102.235	51,117.50	-596.92	ST
GENL ELECT CAP CORP SUB B/E RATE 05.300% MATURES 02/11/21 ACCRUED INTEREST $914.25 CUSIP 369622SM8 Moody: A3 S&P: A- EAI: $2,385 Current yield: 5.07% Original cost basis: $48,616.65	Dec 12, 17	45,000.000	106.684	48,007.97	104.618	47,078.10	-929.87	ST

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Your assets ▸ **Fixed income** ▸ **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
AT&T INC NTS B/E								
CALL@MW+30BP								
RATE 04.600% MATURES 02/15/21								
CALLABLE								
ACCRUED INTEREST $513.66								
CUSIP 00206RCZ3								
Moody: Baa2 S&P: BBB								
EAI: $1,380 Current yield: 4.49%								
Original cost basis: $31,690.80	Dec 20, 16	30,000.000	103.645	31,093.57	102.523	30,756.90	-336.67	LT
Total		**$305,000.000**		**$314,281.64**		**$311,360.90**	**-$2,920.74**	

Total accrued interest: $4,975.05

Total estimated annual income: $13,478

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES TIPS BOND ETF									
Symbol: TIP									
Trade date: Feb 8, 10	21.000	104.870	2,202.27	2,202.27	112.870	2,370.27	168.00		LT
Trade date: Mar 3, 10	175.000	103.910	18,184.25	18,184.25	112.870	19,752.25	1,568.00		LT
Trade date: Jan 18, 11	270.000	107.946	29,145.64	29,145.64	112.870	30,474.90	1,329.26		LT
Trade date: Jan 19, 11	165.000	107.938	17,809.90	17,809.90	112.870	18,623.55	813.65		LT
Trade date: Sep 20, 12	229.000	121.804	27,893.18	27,893.18	112.870	25,847.23	-2,045.95		LT
EAI: $2,422 Current yield: 2.50%									
Security total	860.000	110.739	95,235.24	95,235.24		97,068.20	1,832.96	1,832.96	
ISHARES 1-3 YEAR CREDIT BOND ETF									

continued next page

Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Symbol: CSJ									
Trade date: May 24, 12	438.000	104.573	45,803.19	45,803.19	103.700	45,420.60	-382.59		LT
Trade date: Sep 20, 12	356.000	105.726	37,638.81	37,638.81	103.700	36,917.20	-721.61		LT
Trade date: Oct 24, 12	436.000	105.860	46,155.20	46,155.20	103.700	45,213.20	-942.00		LT
Trade date: Dec 11, 13	311.000	105.416	32,784.56	32,784.56	103.700	32,250.70	-533.86		LT
Trade date: Aug 27, 14	251.000	105.417	26,459.74	26,459.74	103.700	26,028.70	-431.04		LT
Trade date: Jul 21, 15	226.000	105.079	23,747.99	23,747.99	103.700	23,436.20	-311.79		LT
EAI: $3,760 Current yield: 1.80%									
Security total	2,018.000	105.347	212,589.49	212,589.49		209,266.60	-3,322.89	-3,322.89	
ISHARES INTER CREDIT BOND ETF									
Symbol: CIU									
Trade date: Mar 24, 14	616.000	108.765	66,999.61	66,999.61	106.240	65,443.84	-1,555.77		LT
Trade date: Sep 17, 14	198.000	109.170	21,615.68	21,615.68	106.240	21,035.52	-580.16		LT
Trade date: Dec 2, 14	188.000	109.557	20,596.83	20,596.83	106.240	19,973.12	-623.71		LT
Trade date: Feb 5, 15	677.000	110.729	74,963.87	74,963.87	106.240	71,924.48	-3,039.39		LT
Trade date: Mar 3, 17	300.000	108.546	32,564.01	32,564.01	106.240	31,872.00	-692.01		LT
EAI: $5,579 Current yield: 2.65%									
Security total	1,979.000	109.520	216,740.00	216,740.00		210,248.96	-6,491.04	-6,491.04	
ISHARES FLOATING RATE BOND ETF									
Symbol: FLOT									
Trade date: Dec 11, 13	1,282.000	50.669	64,958.81	64,958.81	51.000	65,382.00	423.19		LT
Trade date: Mar 24, 14	703.000	50.695	35,639.08	35,639.08	51.000	35,853.00	213.92		LT
Trade date: May 7, 14	215.000	50.730	10,906.95	10,906.95	51.000	10,965.00	58.05		LT
Trade date: Aug 27, 14	457.000	50.790	23,211.03	23,211.03	51.000	23,307.00	95.97		LT
Trade date: Dec 2, 14	231.000	50.676	11,706.30	11,706.30	51.000	11,781.00	74.70		LT
Trade date: Feb 5, 15	233.000	50.626	11,795.97	11,795.97	51.000	11,883.00	87.03		LT
Trade date: Jul 21, 15	686.000	50.639	34,738.97	34,738.97	51.000	34,986.00	247.03		LT
Trade date: Mar 3, 17	685.000	50.828	34,817.25	34,817.25	51.000	34,935.00	117.75		LT
EAI: $3,957 Current yield: 1.73%									
Security total	4,492.000	50.707	227,774.36	227,774.36		229,092.00	1,317.64	1,317.64	
Total			**$752,339.09**	**$752,339.09**		**$745,675.76**	**-$6,663.33**	**-$6,663.33**	

Total estimated annual income: $15,718

Your assets ▸ **Fixed income** (continued)

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Quantity	Purchase price ($)	Adjusted cost basis ($)	Price on Jun 29 ($)	Value on Jun 29 ($)	Unrealized gain or loss ($)	Holding period
U S TREASURY NOTE RATE 1.5000% MATURES 02/28/19 ACCRUED INTEREST $863.11 CUSIP 912828C24 EAI: $2,625 Current yield: 1.51%	Mar 01, 18	175,000.000	99.441	174,022.46	99.512	174,146.00	123.54	ST

Your total assets

		Value on Jun 29 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**122,506.99**	**2.12%**	**122,506.99**		
Equities	* Common stock	3,227,740.10		2,172,302.09	68,130.00	1,052,505.12
	Closed end funds & Exchange traded products	1,200,105.57		981,273.72	38,365.00	218,831.85
	* Rights			0.01		
	Total equities	**4,427,845.67**	**76.51%**	**3,153,575.82**	**106,495.00**	**1,271,336.97**
Fixed income	Corporate bonds and notes	311,360.90		314,281.64	13,478.00	-2,920.74
	Closed end funds & Exchange traded products	745,675.76		752,339.09	15,718.00	-6,663.33
	Government securities	174,146.00		174,022.46	2,625.00	123.54
	Total accrued interest	5,838.16				
	Total fixed income	**1,237,020.82**	**21.37%**	**1,240,643.19**	**31,821.00**	**-9,460.53**
Total		**$5,787,373.48**	**100.00%**	**$4,516,726.00**	**$138,316.00**	**$1,261,876.44**

* Missing cost basis information.

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
May 31		**Cash and money balance**					**$107,000.48**
Jun 1	Dividend	BOEING COMPANY PAID ON 75 SYMBOL: BA				128.25	
Jun 1	Dividend	ADAM NAT RES FD INC PAID ON 3880 SYMBOL: PEO				388.00	
Jun 1	Dividend	INTEL CORP PAID ON 1554 SYMBOL: INTC				466.20	
Jun 1	Dividend	PFIZER INC PAID ON 1529 SYMBOL: PFE				519.86	
Jun 1	Receive	RTS ROYCE VALUE TRUST INC EXP 07/03/18 CUSIP: 780991808		2,048.000			108,502.79
Jun 4	Rights Offering	RTS ROYCE VALUE TRUST INC EXP 07/03/18 AS OF 06/01/18 CUSIP: 780991808		2.000			108,502.79
Jun 7	Dividend	ISHARES TIPS BOND ETF PAID ON 860 SYMBOL: TIP				288.74	
Jun 7	Dividend	ISHARES 1-3 YEAR CREDIT BOND ETF PAID ON 2018 SYMBOL: CSJ				362.07	
Jun 7	Dividend	ISHARES INTER CREDIT BOND ETF PAID ON 1979 SYMBOL: CIU				522.69	
Jun 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 06/06/18				22.32	
Jun 7	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON 4492 SYMBOL: FLOT				436.05	110,134.66
Jun 12	Dividend	SKYWORKS SOLUTIONS INC PAID ON 972 SYMBOL: SWKS				311.04	
Jun 12	Dividend	TRACTOR SUPPLY COMPANY PAID ON 1106 SYMBOL: TSCO				342.86	110,788.56
Jun 13	Dividend	METLIFE INC PAID ON 1677 SYMBOL: MET				704.34	111,492.90

continued next page

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Jun 14	Dividend	MICROSOFT CORP PAID ON 655 SYMBOL: MSFT				275.10	111,768.00
Jun 15	Dividend	KRAFT HEINZ CO/THE PAID ON 1167 SYMBOL: KHC				729.38	
Jun 15	Dividend	POLARIS INDUSTRIES INC (MINN) PAID ON 582 SYMBOL: PII				349.20	112,846.58
Jun 20	Dividend	QUALCOMM INC PAID ON 1211 SYMBOL: QCOM				750.82	
Jun 20	Dividend	SPDR S&P BANK ETF PAID ON 1992 SYMBOL: KBE				337.98	113,935.38
Jun 25	Dividend	ISHARES INTL DEVELOPED PPTY ETF PAID ON 1259 SYMBOL: WPS				599.98	114,535.36
Jun 27	Dividend	ROYCE MICRO-CAP TRUST INC PAID ON 9126 SYMBOL: RMT				1,642.68	
Jun 27	Reinvestment	ROYCE MICRO-CAP TRUST INC OPTIONAL DIVIDEND @ $ 10.260 PER SHARE SYMBOL: RMT		160.000		-1,641.60	
Jun 27	Dividend	ROYCE VALUE TRUST INC PAID ON 2048 SYMBOL: RVT				614.40	
Jun 27	Reinvestment	ROYCE VALUE TRUST INC OPTIONAL DIVIDEND @ $ 16.20 PER SHARE SYMBOL: RVT		37.000		-599.40	
Jun 27	Dividend	VANGUARD SMALL CAP GROWTH ETF PAID ON 561 SYMBOL: VBK				135.14	
Jun 27	Dividend	VANGUARD FTSE EMERGING MARKETS ETF PAID ON 2399 SYMBOL: VWO				658.53	
Jun 27	Dividend	VANGUARD FTSE ALL WORLD EX-US SMALL-CAP ETF PAID ON 1138 SYMBOL: VSS				800.92	
Jun 27	Dividend	VANGUARD FTSE DEVELOPED MKT ETF PAID ON 2933 SYMBOL: VEA				1,584.41	117,730.44

continued next page

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Jun 28	Dividend	BECTON DICKINSON & CO PAID ON 106 SYMBOL: BDX				79.50	
Jun 28	Foreign Dividend	STERIS PLC GBP PAID ON 1461 SYMBOL: STE				452.91	
Jun 28	Dividend	WISDOMTREE EUROPE SMALLCAP DIVID FUND ETF PAID ON 1332 SYMBOL: DFE				2,404.45	
Jun 28	Dividend	WISDOMTREE EMERGING MARKETS SMALLCAP DIVID FUND ETF PAID ON 1939 SYMBOL: DGS				1,238.17	
Jun 28	Dividend	UNION PACIFIC CORP PAID ON 824 SYMBOL: UNP				601.52	122,506.99
Jun 29		**Closing cash and money balance**					**$122,506.99**
		Funds used for investment transactions					**-$2,241.00**

Money balance activities

Date	Activity	Description	Amount ($)
May 31	**Balance forward**		**$107,000.48**
Jun 4	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,502.31
Jun 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 06/06/18	22.32
Jun 8	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,609.55
Jun 13	Deposit	UBS BANK USA BUSINESS ACCOUNT	653.90
Jun 14	Deposit	UBS BANK USA BUSINESS ACCOUNT	704.34
Jun 15	Deposit	UBS BANK USA BUSINESS ACCOUNT	275.10
Jun 18	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,078.58
Jun 21	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,088.80
Jun 26	Deposit	UBS BANK USA BUSINESS ACCOUNT	599.98
Jun 28	Deposit	UBS BANK USA BUSINESS ACCOUNT	3,195.08
Jun 29	Deposit	UBS BANK USA BUSINESS ACCOUNT	4,776.55
Jun 29	**Closing UBS Bank USA Business Account**		**$122,506.99**

The UBS Bank USA Business Account is your primary sweep option.

UBS Financial Services Inc.
805 S.W. Broadway
Suite 2600
Portland OR 97205-3365

CNQ7004545199 0618 X12 LL 0

Business Services Account

June 2018

GORGE COMMUNITY FOUNDATION
P.O. BOX 1711
HOOD RIVER OR 97031-0711

Account name: GORGE COMMUNITY FOUNDATION

Account number: LL 66635 96

Your Financial Advisor:
MT HOOD INVESTMENT GROUP
Phone: 503-221-5800/800-245-0900

Questions about your statement?
Call your Financial Advisor or the ResourceLine at 800-762-1000, account 342066635.

Visit our website:
www.ubs.com/financialservices

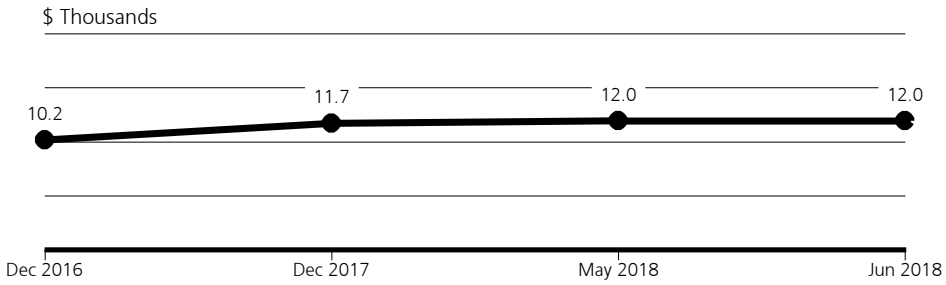
Items for your attention

- Help protect yourself from fraud and review bank, credit card, and brokerage statements regularly. Also, get your free credit report annually from www.annualcreditreport.com.

Value of your account

	on May 31 ($)	on June 29 ($)
Your assets	11,965.38	11,983.22
Your liabilities	0.00	0.00
Value of your account	**$11,965.38**	**$11,983.22**

Tracking the value of your account



Sources of your account growth during 2018

Value of your account at year end 2017	$11,721.59
Your investment return:	
Dividend and interest income	$45.03
Change in market value	$216.60
Value of your account on Jun 29, 2018	**$11,983.22**

Account name: GORGE COMMUNITY FOUNDATION
Account number: LL 66635 96

Your Financial Advisor:
MT HOOD INVESTMENT GROUP
503-221-5800/800-245-0900

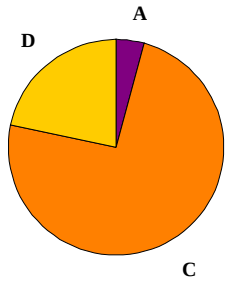
Your account balance sheet

Summary of your assets

		Value on June 29 ($)	Percentage of your account
A	Cash and money balances	508.00	4.24%
B	Cash alternatives	0.00	0.00%
C	Equities	8,882.72	74.13%
D	Fixed income	2,592.50	21.63%
E	Non-traditional	0.00	0.00%
F	Commodities	0.00	0.00%
G	Other	0.00	0.00%
Total assets		**$11,983.22**	**100.00%**

Value of your account **$11,983.22**

Your current asset allocation



- *Cash and money balances* may include available cash balances, UBS Bank USA deposit account, UBS FDIC Insured Deposit Program Bank accounts, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

	Percentage change	
Index	June 2018	Year to date
S&P 500	0.62%	2.65%
Russell 3000	0.65%	3.22%
MSCI - Europe, Australia & Far East	-1.19%	-2.37%
Barclays Capital U.S. Aggregate Bond Index	-0.12%	-1.62%

Interest rates on June 29, 2018

3-month Treasury bills: 1.87%

One-month LIBOR: 2.09%

Change in the value of your account

	June 2018 ($)	Year to date ($)
Opening account value	**$11,965.38**	**$11,721.59**
Dividend and interest income	4.49	45.03
Change in market value	13.35	216.60
Closing account value	**$11,983.22**	**$11,983.22**

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	June 2018 ($)	Year to date ($)
Taxable dividends	4.49	45.03
Total current year	**$4.49**	**$45.03**
Total dividend & interest	**$4.49**	**$45.03**

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized
	June 2018 ($)	Year to date ($)	gains and losses ($)
Long term	0.00	0.00	1,744.46

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	June 2018 ($)	Year to date ($)
Opening balances	**$503.51**	**$462.97**
Additions		
Dividend and interest income	4.49	45.03
Total additions	**$4.49**	**$45.03**
Net cash flow	**$4.49**	**$45.03**
Closing balances	**$508.00**	**$508.00**

UBS Bank USA Business Account APY

Interest period May 7 - Jun 6	
Opening UBS Bank USA Business balance May 7	$499.15
Closing UBS Bank USA Business balance Jun 6	$503.51
Number of days in interest period	31
Average daily balance	$503.37
Interest earned	$0.00
Annual percentage yield earned	0.00%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:
Current income & capital appreciation
Your risk profile:
Primary - Moderate
Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the *Important information about your statement* at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Holding	Opening balance on Jun 1 ($)	Closing balance on Jun 29 ($)	Price per share on Jun 29 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
UBS BANK USA BUS ACCT	503.51	508.00					250,000.00

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES MSCI KLD 400 SOCIAL									
ETF									
Symbol: DSI									
Trade date: Oct 12, 16	88.000	80.435	7,078.28	7,078.28	100.940	8,882.72	1,804.44	1,804.44	LT
EAI: $124 Current yield: 1.40%									

Your assets (continued)

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 29 ($)	Value on Jun 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES 1-3 YEAR CREDIT BOND									
ETF									
Symbol: CSJ									
Trade date: Nov 3, 16	25.000	106.099	2,652.48	2,652.48	103.700	2,592.50	-59.98	-59.98	LT
EAI: $47 Current yield: 1.81%									

Your total assets

		Value on Jun 29 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**508.00**	**4.24%**	**508.00**		
Equities	**Closed end funds & Exchange traded products**	**8,882.72**	**74.13%**	**7,078.28**	**124.00**	**1,804.44**
Fixed income	**Closed end funds & Exchange traded products**	**2,592.50**	**21.63%**	**2,652.48**	**47.00**	**-59.98**
Total		**$11,983.22**	**100.00%**	**$10,238.76**	**$171.00**	**$1,744.46**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
May 31		**Cash and money balance**					**$503.51**
Jun 7	Dividend	ISHARES 1-3 YEAR CREDIT BOND ETF PAID ON 25 SYMBOL: CSJ				4.49	508.00
Jun 29		**Closing cash and money balance**					**$508.00**

	Date	Activity	Description	Amount ($)
Money balance activities	**May 31**	**Balance forward**		**$503.51**
	Jun 8	Deposit	UBS BANK USA BUSINESS ACCOUNT	4.49
	Jun 29	**Closing UBS Bank USA Business Account**		**$508.00**

The UBS Bank USA Business Account is your primary sweep option.

UBS

Your notes

Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.
- As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- All statements shall be deemed complete and accurate if not objected to in writing within 60 days.
- For TTY services: Call 844-612-0986 or from outside the U.S.: Call 201-352-1495
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

  Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.
  - Provide your name and account number (if any).
  - Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
  - Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances to bank deposit accounts at non-affiliated banks and affiliated banks, money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Deposit accounts at UBS Bank USA and non-affiliated banks participating in the UBS FDIC-Insured Deposit Program are FDIC-insured in accordance with FDIC rules. For more information, please visit www.fdic.gov. Deposit accounts at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman Branch's books.

Bank deposits are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the bank deposits may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities customers of its members up to $500,000 (including $250,000 for claims for cash). Explanatory brochure available upon request or at *www.sipc.org*. The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental insurance. The maximum amount payable to all eligible clients, collectively under this protection is $500 million as of December 10, 2016. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to $1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

Neither the SIPC protection nor the supplemental protection apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services. For example certain (i) insurance products, including variable annuities, and (ii) shares of mutual funds registered in the name of the account holder on the books of the issuer or transfer agent);
- Investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933;
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts; and
- Deposit accounts (except certificates of deposit) at UBS Bank USA, UBS AG U.S. branches and banks in the FDIC Insured Deposit Program.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- *Produce Current Income*: Investments seeking the generation of income only.
- *Achieve Capital Appreciation*: Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation*: Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- *Conservative*: Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate*: Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive*: Willing to accept high risk to principal and high volatility to seek high returns over time.
- *Investment Eligibility Consideration*: If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus deposit balances at affiliated and non-affiliated banks, and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Important information about your statement (continued)

Lending information
For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets
Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.
- **Unrealized gains/losses**. When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.
- **Callable securities**. Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.
- **Certificates of deposit (CDs)**. CDs are FDIC insured up to $250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value**. Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.

  To obtain current quotations, when available, contact your Financial Advisor.

- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
  - Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
  - When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
  - "Distributions to date" may include return of capital, income or both.
  - "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.
  - DPP and unlisted REIT securities are not listed on a national securities exchange, and are generally illiquid and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

- **Restricted securities**. Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock *for purposes of this statement only*. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.
  - In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
  - In addition to commissions received from the purchase and sale of NextShares funds, we and/or our affiliate receive an asset-based revenue sharing payment from NextShares distributors, as well as funding for technology development, training and education.
  - Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
  - We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to $25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity
Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling
If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders
Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy
To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

- UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.
- Investment, insurance, and annuity products:
- Not FDIC insured • No bank guarantee• May lose value
- RMA. Resource Management Account, Business Services Account BSA, IRMA and international Resource Management Account are registered service marks of UBS Financial Services Inc.
- VISA is a registered trademark owned by Visa International Service Association and used under license. The UBS Visa credit cards and the UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated. All other trademarks, registered trademarks, service marks and registered service marks are of their respective companies.